

DOCCS FACT SHEET

February 1, 2023

The management and operation of prisons is difficult work. It requires an equal measure of patience, discipline and creativity. DOCCS is responsible for the care, custody, and treatment of individuals sentenced to state prison, working with these incarcerated individuals to ensure successful re-entry into the community and supervising those who are placed on parole. That's our Department's mission and it's the guide we follow to carry out the very serious responsibility entrusted to us.

The material contained within this Fact Sheet is based on statistical information compiled by DOCCS and it is intended to provide insight into the function and operation of the Department's 44 facilities that house 31,449 incarcerated individuals and 26,293 parolees under DOCCS' responsibility.

Prison Safety

Statewide Assaults*											
2018 2019 2020 2021 20222 2023											
Assaults on Staff Statewide**	990	1,043	1,052	1.177	1.471	116					
Assaults on Incarcerated Individuals	990	1,043	1,002	1,177	1,471	110					
Statewide**	1,166	1,267	1,206	1,107	1,488	155					

^{*}Excludes Edgecombe and Parole Diversion Programs.

^{**}Includes disciplinary confinement SHU 200 units and Regional Medical Units.

Maximum vs. Medium Facility Incarcerated Individual-on-Staff Assaults* 2017- 2023											
	2018 2019 2020 2021 2022 2023 YTD										
Assaults on Staff Statewide	972	1033	1,047	1,177	1,469	116					
Maximum-Security*	722	769	774	885	1,015	72					
Medium-Security	237	219	262	284	430	41					

Excludes Edgecombe and Parole Diversion Programs.

Note: Unlike the Penal Law where physical injury is a required element, any attack by an incarcerated individual is classified by DOCCS as an assault. This includes events where no physical injury occurs and events where any object, including a small object, is thrown at and hits another person. By contrast, in the Penal Law, an assault requires physical injury, which means impairment of physical condition or substantial pain.

2022 4th Quarter Assaults									
Oct Nov Dec Total									
Assault on Incarcerated Individual	125	133	145		403				
Assault on Staff	119	118	119		356				
Total	244	251	264		769				

^{*}Includes disciplinary confinement SHU 200 units and Regional Medical Units.

	Assault Deg	ree of In	jury to Sta	ff	
Incident Type: Staf		g an Incard vidual Ass		idua	l on Incarcerated
	Nov	Dec	Jan		Total
No Injury	475	474	523		1,472
Minor*	3	11	12		26
Moderate**	2	0	0		2
Serious***	0	0	0		0
Severe****	0	0	0		0
Total	480	485	535		1,500
Incident Type: Staff	f Injured during	g an Incard	erated Indiv	idua	l on Staff Assault
	Nov	Dec	Jan		Total
No Injury	523	448	456		1,427
Minor*	176	151	180		507
Moderate**	8	9	9		20
Serious***	3	3	3		9
Severe****	0	0	0		0
Total	710	611	648		1,963

Note: Excludes Edgecombe, residential treatment facilities and Parole Diversion Programs and is based upon staff injuries sustained in Unusual Incidents each month, including employee accidents.

Effective October 1, 2014, per Article VII bill, DOCCS added a category of severe and redefined injury definitions.

Escape Incidents

- The last escape from within a maximum security secure perimeter was 2015 at Clinton Correctional Facility; prior to 2015 the last escape was in 2003.
- The last escape from within a medium security secure perimeter was 1992 at Mid-Orange Correctional Facility.

Prison Closures

- Since 1999, New York's prison population has declined by 57.2 percent, from a high of 72,649 incarcerated individuals to 31,4499 (2/1/23).
- Since 2011, the State has eliminated more than 13,000 prison beds and closed a total of 24 correctional facilities due to excess bed capacity resulting in an overall annual savings of approximately \$442 million.

^{*}Injuries that require either no treatment, minimal treatment (scratch, bruise, aches/pain) or precautionary treatment.

^{**} Injuries such as lacerations, concussions, 2nd degree burns, serious sprains, dislocation, and muscle or ligament damage.

^{***}Injuries that require transport to an outside hospital but are not considered life-threatening at the preliminary report.

^{****} Injuries that cause obvious disfigurement, protracted impairment of health, loss or impairment of organ function, amputation, and injuries that risk cause of death.

• DOCCS security staff reductions have been consistent with incarcerated population declines. In each of the prison closure years, more than 96% of affected employees remained employed, retired or resigned.

	D	OCCS Sec	urity Staf	f and Incarce	rated Pop	ulation	
December	Correction Officers Sergeants Lieutenants Positions Filled	Numeric Change	Percent Change	Incarcerated Population	Numeric Change	Percent Change	Staffing Ratio Incarcerated Population Versus Filled Uniform Staff Positions
2003	20,794	-464	-2.2%	66,110	-1,549	-2.3%	3.2
2004	21,068	274	1.3%	64,905	-1,205	-1.8%	3.1
2005	21,420	352	1.7%	63,930	-975	-1.5%	3.0
2006	21,505	85	0.4%	64,410	480	0.8%	3.0
2007	21,414	-91	-0.4%	63,425	-985	-1.5%	3.0
2008	21,404	-10	0.0%	60,933	-2,492	-3.9%	2.8
2009	20,602	-802	-3.7%	59,279	-1,654	-2.7%	2.9
2010	20,652	50	0.2%	57,229	-2,050	-3.5%	2.8
2011	19,647	-1,005	-4.9%	55,979	-1,250	-2.2%	2.8
2012	19,192	-455	-2.3%	54,865	-1,114	-2.0%	2.9
2013	19,145	-47	-0.2%	54,142	-723	-1.3%	2.8
2014	19,002	-143	-0.7%	53,103	-1,039	-1.9%	2.8
2015	19,360	358	1.9%	52,344	-759	-1.4%	2.7
2016	19,233	-127	-0.7%	51,466	-878	-1.7%	2.7
2017	19,242	9	0.0%	50,271	-1,195	-2.3%	2.6
2018	19,295	53	0.3%	47,459	-2,812	5.6%	2.5
2019	19,072	-223	-1.2%	44,334	-3,125	-6.6%	2.3
2020	18,541	-531	-2.8%	34,446	-9,888	-22.3%	1.9
2021	17,415	-1,126	-6.1%	30,746	-3,700	-10.7	1.8
2022	16,178	-1,237	-7.1%	31,329	583	1.8%	1.9

Staffing and Population Differences										
	12/31/99	2/1/23	Numeric Difference	Percent Change						
Correction Officers Sergeants Lieutenants	22,112	16,100	6,012	-27.1%						
Incarcerated Individuals	72,649	31,449	41,200	-56.7%						

	DOCCS Correctional Facility Security Personnel Council 82/NYSCOPBA Staff													
	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022	2023
Council 82														
Lieutenants	521	458	483	482	472	475	471	480	478	470	436	413	407	421
NYSCOPBA														
Sergeants	1,256	1,169	1,196	1,190	1,175	1,172	1,179	1,193	1,184	1,171	1,094	1,028	1,052	1,048
Officers	18,930	17,945	17,741	17,627	17,569	17,635	17,601	17,581	17,528	17,431	17,071	15,974	14,846	14,631
Subtotal	20,186	19,114	18,937	18,817	18,744	18,807	18,780	18,774	18,712	18,602	18,165	17,002	15,898	15,679
Total	20,707	19,572	19,420	19,300	19,216	19,282	19,251	19,254	19,190	19,072	18,601	17,415	16,305	16,100

Number and Percent of Incarcerated Individuals Convicted of a Violent Felony Offense In Male Maximum and Medium Security Facilities February 1 st of Selected Years										
	2013 YTD 2018 YTD						2023 YTD			
	VFO	Incarcerated Individuals	%	VFO	Incarcerated Individuals	%	VFO	Incarcerated Individuals	%	
Maximum Facilities	18,612	23,036	80.8%	17,191	21,166	81.2%	12,362	14,023	88.2%	
Medium Facilities	15,330	27254	56.2%	13,528	24,422	55.4%	9,976	15,321	65.1%	

Note: This table includes incarcerated individuals at the following parole program facilities: Edgecombe, Orleans, and Hudson PDP.

SHU Cell Occupants Effective Beginning of Business										
Inmate Status	11/1/2022	12/1/2022	1/1/2023	2/1/2023						
SHU Disciplinary Sanction	356	285	205	203						
KEEPLOCK Disciplinary Sanction	0	0	0	0						
Administrative Segregation	0	0	0	0						
Involuntary Protective Custody	0	0	0	0						
Pending Disciplinary Hearing	96	113	159	146						
Pending Movement From Unit	0	0	0	0						
Pending Investigation	1	0	0	0						
Special Watch	0	0	0	0						
Voluntary Protective Custody	0	0	0	0						
TOTAL SHU Cell Occupants (Excluding Youthful Offenders)	453	398	364	351						

Statewide Incarcerated Individuals Convicted of a Violent Felony Offense									
End of Year 2019 2020 2021 2022 2023 YTD									
Violent Offenders	29,623	25,193	22,706	23,208	23,275				

Note: This table includes individuals at the following parole program facilities: Edgecombe Residential Treatment, and Orleans and Hudson Parole Diversion Program

2018 Releases by Return Rate									
Release Type And	Total	Total Returned	Return Type	Return Type					
Release Cohort	Released		New Commitment	Parole Violator					

2018 Releases	20,921	6,613	31.6%	1,198	5.7%	5,415	25.9%
Parole	9,804	3,889	39.7%	528	5.4%	3,361	34.3%
Conditional Release	9,173	2,473	27.0%	419	4.6%	2,054	22.4%
Maximum Expiration	1,944	251	12.9%	251	12.9%	N/A	N/A

Note: DOCCS defines recidivism as recommitment or return to NYS DOCCS custody after an incarcerated individual's sanctioned release to the community.

Note: DOCCS followed a 2018 release cohort of formerly incarcerated individuals for three years to obtain the

return rate data in the above table.

(start of month data - excludes staff and parolees in the revocation process) Region/Bureau Number of Parole Officers & Senior Parole Officers Bronx Region Number of Parole Officers & Senior Parole Officers Bureau II 508 16 Bureau III 428 13 Bureau IV 654 13 Bureau V 596 14 Broklyn Region Bureau II 529 18 Bureau III 506 14 Bureau III 506 14 Bureau III 529 16 Bureau III 506 14 Bureau III 529 16 Bureau III 463 7 Northern Front 248 0 Elmira	Community Supervision Staffing and Cases by Region/Bureau*			
Number of Paroles Number of Paroles Senior Parole Officers & Seni				
Bureau II	Region/Bureau	Number of		
Bureau III				
Bureau IV 596				
Bureau V 596				
Brooklyn Region S29				
Bureau S29	Bureau V	596	14	
Bureau II	Brooklyn Region			
Bureau III	Bureau I	529	18	
Bureau IV 600 18	Bureau II	506	14	
Central New York Syracuse 837 24 Utica 636 22 Watertown Sub 463 7 Northern Front 248 0 Elmira 414 16 Binghamton Sub 330 11 Hudson Valley Region 11 New Rochelle 549 14 Peekskill Sun 161 15 Albany 836 22 Poughkeepsie 917 18 Albany Belt 892 0 Manhattan/Staten Island 15 Manhattan Bureau II 443 15 Manhattan Bureau IV 284 11 Manhattan Bureau IV 284 11 Manhattan Bureau VI 669 21 Staten Island Sub 317 9	Bureau III	529	16	
Syracuse 837 24 Utica 636 22 Watertown Sub 463 7 Northern Front 248 0 Elmira 414 16 Binghamton Sub 330 11 Hudson Valley Region New Rochelle 549 14 Peekskill Sun 161 15 Albany 836 22 Poughkeepsie 917 18 Albany Belt 892 0 Manhattan/Staten Island Manhattan Bureau II 443 15 Manhattan Bureau IV 284 11 Manhattan Bureau IV 284 11 Manhattan Bureau VI 669 21 Staten Island Sub 317 9 Queens/Long Island	Bureau IV	600	18	
Syracuse	Central New York			
Utica 636 22 Watertown Sub 463 7 Northern Front 248 0 Elmira 414 16 Binghamton Sub 330 11 Hudson Valley Region 11 New Rochelle 549 14 Peekskill Sun 161 15 Albany 836 22 Poughkeepsie 917 18 Albany Belt 892 0 Manhattan/Staten Island 15 Manhattan Bureau II 443 15 Manhattan Bureau IV 284 11 Manhattan Bureau IV 284 11 Manhattan Bureau VI 669 21 Staten Island Sub 317 9	Syracuse	837	24	
Northern Front 248 0 Elmira 414 16 Binghamton Sub 330 11 Hudson Valley Region New Rochelle 549 14 Peekskill Sun 161 15 Albany 836 22 Poughkeepsie 917 18 Albany Belt 892 0 Manhattan/Staten Island 15 Manhattan Bureau II 443 15 Manhattan Bureau III 557 12 Manhattan Bureau IV 284 11 Manhattan Bureau VI 669 21 Staten Island Sub 317 9		636	22	
Binghamton Sub 330 11	Watertown Sub	463	7	
Binghamton Sub 330 11	Northern Front	248	0	
New Rochelle 549 14 Peekskill Sun 161 15 Albany 836 22 Poughkeepsie 917 18 Albany Belt 892 0 Manhattan/Staten Island Manhattan Bureau II 443 15 Manhattan Bureau IV 284 11 Manhattan Bureau VI 669 21 Staten Island Sub 317 9 Queens/Long Island	Elmira	414	16	
New Rochelle 549 14 Peekskill Sun 161 15 Albany 836 22 Poughkeepsie 917 18 Albany Belt 892 0 Manhattan/Staten Island 15 Manhattan Bureau II 557 12 Manhattan Bureau IV 284 11 Manhattan Bureau VI 669 21 Staten Island Sub 317 9	Binghamton Sub	330	11	
New Rochelle 549 14 Peekskill Sun 161 15 Albany 836 22 Poughkeepsie 917 18 Albany Belt 892 0 Manhattan/Staten Island 15 Manhattan Bureau II 557 12 Manhattan Bureau IV 284 11 Manhattan Bureau VI 669 21 Staten Island Sub 317 9	Hudson Valley Region			
Peekskill Sun 161 15 Albany 836 22 Poughkeepsie 917 18 Albany Belt 892 0 Manhattan/Staten Island 50 0 Manhattan Bureau II 443 15 Manhattan Bureau III 557 12 Manhattan Bureau IV 284 11 Manhattan Bureau VI 669 21 Staten Island Sub 317 9		549	14	
Albany 836 22 Poughkeepsie 917 18 Albany Belt 892 0 Manhattan/Staten Island Manhattan Bureau II 443 15 Manhattan Bureau III 557 12 Manhattan Bureau IV 284 11 Manhattan Bureau VI 669 21 Staten Island Sub 317 9				
Poughkeepsie 917 18 Albany Belt 892 0 Manhattan/Staten Island				
Manhattan/Staten IslandManhattan Bureau II44315Manhattan Bureau III55712Manhattan Bureau IV28411Manhattan Bureau VI66921Staten Island Sub3179	•	917	18	
Manhattan Bureau II 443 15 Manhattan Bureau III 557 12 Manhattan Bureau IV 284 11 Manhattan Bureau VI 669 21 Staten Island Sub 317 9 Queens/Long Island 9	Albany Belt	892	0	
Manhattan Bureau II 443 15 Manhattan Bureau III 557 12 Manhattan Bureau IV 284 11 Manhattan Bureau VI 669 21 Staten Island Sub 317 9 Queens/Long Island 9	Manhattan/Staten Island			
Manhattan Bureau III 557 12 Manhattan Bureau IV 284 11 Manhattan Bureau VI 669 21 Staten Island Sub 317 9 Queens/Long Island 9		443	15	
Manhattan Bureau VI 669 21 Staten Island Sub 317 9 Queens/Long Island ————————————————————————————————————				
Staten Island Sub 317 9 Queens/Long Island	Manhattan Bureau IV	284	11	
Staten Island Sub 317 9 Queens/Long Island	Manhattan Bureau VI	669	21	
	Queens/Long Island			
Queens Bureau I X3X I 9	Queens Bureau I	838	9	

Queens Bureau II	720	18
Suffolk	816	14
Nassau	498	15
Western Region		
Buffalo Metro	656	18
Niagara Frontier	317	10
Rochester Metro	569	19
Rochester Belt	442	15
Rochester Sou	524	27
Southern Tier Sub	462	12

^{*}Average case load size cannot be determined using this data